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RAJENDRA KUMAR  
v.  
KALYAN (DEAD) BY LRS.

AUGUST 2, 2000

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[S.B. MAJMUDAR AND U.C. BANERJEE, JJ.]

*Hindu Law :*

*Hindu Adoptions and Maintenance Act, 1956 :*

C

*Section 8—Adoption—By widow to her husband—Held, Shastric law is applicable in such cases.*

*Adoption—By widow to her husband—Pre-requisites—Held, the Husband should expressly authorise his widow, under Shastric law, to adopt a child to him—Adoption of a child without such express authority rightly held illegal by courts below.*

D

*Section 12(c)—Adoption—Commencement of—Widow adopted to her deceased husband a child who was born after his death—Child's claim that by a legal fiction he inherited the property of his deceased adoptive father from the date of his death—Rejected.*

E

*Property—Vesting of—Succession—Taking place—Meaning—Explained.*

*Code of Civil Procedure, 1908 : Section 11 Explns. VII & VIII.*

F

*Res judicata—Constructive res judicata—Principles—Applicability of—Plaintiff claimed that he was adopted by a widow to her deceased husband—The deceased husband was allegedly the predeceased adopted son of the owner of suit property—Plaintiff, however, claimed possession of the suit property of the deceased owner—Plaintiff also claimed that one RG was not the adopted son of the said owner—Widow of the said owner and RG filed a suit against R—Trial court, held that R was not a member of the joint Hindu family of the said owner; that the plaintiff's adoption by R was illegal for want of authority from her deceased husband and that the adoption of RG by the said owner was proved—RG filed a subsequent suit against the said owner's widow and R in which it was held that RG was the adopted son of the said owner—Held, under these circumstances, the High Court rightly held that the*

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suit is barred, not by the principles of the general doctrine of *res judicata*, but by Explan. VIII to S.11 CPC. A

*Res judicata*—Principles and objects of—Explained.

Section 11 Explan. VIII (as inserted by Act 104 of 1976)—Retrospective operation—Held, High Court rightly held that Explan. VIII is applicable to a suit pending on the date of commencement of the amending Act. B

Interpretation of Statutes :

Procedural law—Retrospective operation of—Held, unless there is an express ban on its retrospectivity a statute dealing with matters of procedure is retrospective—However, some differentiation exists between a procedural statute and a statute dealing with substantive rights. C

Statute Law :

Legal fiction—Widow adopted to her deceased husband a child who was born after his death—Child claimed succession to the property of the deceased husband, by a legal fiction, from the date of his death—Held, such a claim cannot be accepted. D

Ethics :

Judicial ethics—Adoption under Hindu law—Question of validity—Found barred by principles of *res judicata*—Plaintiff made very strenuous submission in this regard on the basis of S.8 of the Hindu Adoptions and Maintenance Act—Held, in view of such strenuous submission, the matter reconsidered on merits on the principles of judicial ethics—However, on facts, S.8 not applicable. E

Words and Phrases :

“*Res Judicata*” and “Court of limited jurisdiction”—Meaning of—In the context of Explan. VIII to S.11 of the Code of Civil Procedure, 1908. F

Constructive *res judicata*—Meaning of.

“Vest”, “vesting”, “vested”, “vest in possession” and “vest in interest”—Meaning of—In the context of Hindu Law : G

Doctrines :

Doctrines of “*res judicata*” and “constructive *res judicata*”—Meaning and applicability of. H

A           The plaintiff-appellant instituted a civil litigation in 1968 against the denial of his claim for possession of the suit property. The suit property belonged to one ML who died in 1923 leaving behind his widow R. The Plaintiff-appellant alleged that he was taken in adoption in 1962 by one R who was married to M. Admittedly, M died in 1919. The plaintiff-appellant's claim was that his adoptive father M was adopted by ML as a son to him during his lifetime and after the death of ML, the family comprised of only two members, namely, K who was ML's widow and R who, according to the plaintiff, was ML's predeceased's son M's widow. The first defendant RG claimed to be the adopted son of ML, though according to the plaintiff, there was in fact, no adoption. The plaintiff contended that RG's adoption stood vitiated for want of authority from ML to K to adopt a son to him.

D           The deed of partition executed between K and R was challenged by K in 1929 on the ground that R had no right to the property because M was not ML's adopted son. RG's adoption was also challenged in the suit. But RG, who was impleaded in the suit, asserted that ML himself adopted him. The trial court held that (i) R was not a member of ML's joint Hindu family; (ii) there was no authorisation to R to adopt a son to M and though the factum of the plaintiff's adoption by R was established, his adoption was not legal and valid and (iii) the adoption by ML of RG was proved. The suit was, therefore, decreed against R and the decree was affirmed in appeal.

F           RG also instituted a civil suit in 1935 in which K and R were impleaded as defendants. In that suit the issue of RG's adoption to ML was raised and the same was answered in the affirmative whereas RG's adoption to K was negated.

G           Subsequently, the plaintiff-appellant instituted a suit for possession contending that the decision in the suit of 1929 did not bind the plaintiff since his claim was lodged through M and not through R and the observations of the appellate court that R was entitled merely to maintenance and not to any interest or share in the property would not bind him. The trial court held that the decision in the suits of 1929 and 1935 operated as *res judicata* on the question of adoption of RG by ML and, M not being the adopted son of ML, dismissed the suit for possession. H           This decision was affirmed by the High Court. Hence this appeal.

On behalf of the plaintiff-appellant, it was contended that the plaintiff by a legal fiction took the interest of M in 1919 when the plaintiff was deemed to be born, though, in fact, however, the plaintiff was born in 1951 and that the legality of the plaintiff's adoption by R was proved under Section 8 of the Hindu Adoptions and Maintenance Act, 1956.

On behalf of the respondents-defendants, it was contended that vesting in any event could not take place in favour of an unborn person and vesting must be *vis-a-vis* a living person and the legal fiction pertaining to vesting to an unborn person would not arise.

Dismissing the appeal, the Court

HELD : 1. Section 8 of the Hindu Adoptions and Maintenance Act, 1956 would not have any application since the widow has undoubtedly a right to adopt the child for herself but in the event the child was to be adopted to the husband the statute is otherwise silent and thus the law as it stood prior to the enactment of the legislation as regards the adoption would have to be taken recourse to for proper appreciation. The Shastric law provides an express authority by the husband to the widow to adopt a child and in the contextual facts there is not even an iota of evidence in regard thereto as such adoption has been rightly stated to be not legal and valid by both the courts below. The submissions of the appellant on this score thus stands negated. The above discussion could have been omitted but reason of judicial ethics since very strenuous submission has been made by the appellant in support of the appeal, as regards the merits of the matter. [125-B-C]

2.1. The doctrine of *res judicata* has received a statutory sanction in the Code of Civil Procedure, 1908 as a matter of prudence and to give due weightage to a finding or a decision so as to reach a finality in the matter of a dispute between the same parties or litigating under the same parties. The doctrine thus is to achieve finality of dispute between the parties being a principle of prudence so as to give efficacy to a finding of the Court rather than permit the parties to go to trial more or less on the same issues over again and thus introducing a possibility of conflict of views. Judicial verdict has its special sanctity and cannot be the subject matter of discussion at any future time involving identical or similar issues. The fact in issue is one where more than one attempt has been made to establish a fact and in every attempt that particular fact stands negated. [125-E-F]

A           **2.2. The 1976 Amendment to the Code and the introduction of Explanations VII and VIII clarify this dual objective. The objection, howsoever technical it may be, ought not to outweigh the reasonableness of the doctrine of *res judicata*. [125-H]**

B           *Gulabchand Chhotalal Parikh v. State of Gujarat*, AIR (1965) SC 1153, relied on.

C           **3. The doctrine of *res judicata* or constructive *res judicata* predominantly is a principle of equity, good conscience and justice. It would neither be equitable nor fair nor in accordance with the principles of justice that the issue concluded earlier ought to be permitted to be raised later in a different proceeding. [126-F]**

D           **4. The appellate Court also relying upon Explanation VIII to Section 11 of the Code, negated the contention of the plaintiff-appellant. The Appellate Court very rightly observed that the general doctrine of *res judicata* could not be applied as has been so applied by trial judge but Explanation VIII to Section 11, as stated by the appellate Court and rightly so, makes the objection disappear by reason of its widest possible connotation. [128-B]**

E           **5. The expression 'Court of limited jurisdiction' occurring in Explanation VIII to Section 11 CPC ought not to be given a limited or restrictive interpretation but widest possible amplitude ought to be given to the expression. [128-D]**

F           **6. The High Court also rightly held that the effect given to Explanations VII and VIII inserted in Section 11 of the Code by the amendment would act and come within the sweep of sub-section 2(a) of the amending Act and Section 97(3) would regulate it. The High Court also rightly held that as on the date of the commencement of the Amending Act the present suit was pending in the Court of the Civil Judge, Senior Division, the amended provisions of Section 11 would apply to it. However,**  
G           **some differentiation exists between a procedural statute and a statute dealing with substantive rights and in the normal course of events, matters of procedure are presumed to be retrospective unless there is an express ban on to its retrospectivity. [129-E-F; 130-A]**

H           *Jose Da Costa v. Bascora Sadasiva Sinai Narcornim*, [1976] 2 SCC 917 and *Gurbachan Singh v. Satpal Singh*, AIR. (1990) SC 209, relied on.

*Blyth v. Blyth*, (1966) 1 All ER 524; *AG v. Vernazza*, [1960] 3 All ER; *Wright v. Hale*, (1860) 6 H & N 227; *Gardner v. Lucas*, [1878] 3 AC 582 and *Halsbury's Laws of England* 4th Edn. Vol. 44, para 925, p.574, referred to.

*Delhi Cloth and General Mills Co. Ltd. v. Income Tax Commissioner*, AIR (1927) PC 242 and *Garikapati Veeraya v. N. Subbiah Choudhary*, AIR (1957) SC 540 and *Colonial Sugar Refining Co. Ltd. v. Irving*, [1905] AC 369, cited.

7. The law is well settled that no person has, in fact, a vested right in procedural aspect - one has only a right of prosecution or defence in the manner as prescribed by the law for the time being and in the event of any change of procedure by an Act of Parliament one cannot possibly have any right to proceed with the pending proceedings excepting as altered by the new legislation. [131-C]

8.1. The plaintiff can only claim by succession to R and not as a co-parcener on the basis of a legal fiction. The appellate court rightly held that the plaintiff would have to claim under R but by virtue of Section 12 of the Act of 1956, the plaintiff would not have any right on the basis that he was M's adopted son. [133-B]

8.2. Admittedly, R was a party to the previous suit on the issue regarding RG and ML's adoption having been decided against R specifically, it cannot but be said that the plaintiff was litigating under the same title. [133-C]

9. Having regard to the provisions of Section 11 read with Explanation VIII CPC the earlier decision would operate as a *res judicata* in the present context. The adoption of RG has, as a matter of fact, declared to be a valid adoption in any event, the same being a finding against estate, question of further accrual of any right would not arise. The plaintiff cannot, as a matter of fact, lodge his claim independently of R as a co-parcener by reason of being a deemed son of M. The entitlement, if there be any, is only through R and not independently of R. The legal fiction introduced by the appellant is not acceptable, more so by reason of the fact that the adoption of M stands negated in the earlier suit. [133-D-E]

10.1. Vesting cannot take place in favour of an unborn person and vesting must be vis-a-vis a living person and the legal fiction pertaining to

A vesting to an unborn person would not arise. Vesting shall have to be a 'vesting' certain. "To 'vest', generally means to give a property in". [133-G]

*Coverdale v. Charlton* 48 LJQB 132 and *Stroud's Judicial Dictionary* 5th Edn. Vol. VI, referred to.

B 10.2. Vesting in favour of the unborn person and in the contextual facts on the basis of a subsequent adoption after about 50 years without any authorization cannot, however, but be termed to be a contingent event. To 'vest', cannot be termed to be an executory devise. However, 'vested' does not necessarily and always mean 'vest in possession' but includes 'vest in interest' as well. [133-H; 134-A]

CIVIL APPELLATE JURISDICTION : Civil Appeal No. 1738 of 1990.

From the Judgment and Order dated 12.1.88 of the Bombay High Court in F.A. No. 13 of 1974.

D J.D. Jain, Anand Singh and Satyapal Khushal Chand Pasi for the Appellant.

V.A. Mohta, Manoj Wad and Ms. J.S. Wad for the Respondents.

E The Judgment of the Court was delivered by

F **BANERJEE, J.** The cardinal issue in this appeal by the grant of special leave against the judgment of Bombay High Court (Nagpur Bench) pertains to the applicability of the doctrine of *Res judicata* or Constructive *Res judicata* envisaged under Section 11 of the Code of Civil Procedure read with the Explanations including Explanation VIII thereto in terms of the provisions of Amendment Act of 1976.

G In order, however, to appreciate the issues as above, it would be convenient to advert to the contextual facts at this juncture. The facts disclose that the plaintiff/appellant herein instituted a civil litigation being Civil Suit No.29 of 1968 against the denial of claim for possession of the property. The plaintiff alleged that he was taken in adoption by one Radhabai on 25th April, 1967 who, however, was married to Mahadeo. Admittedly, Mahadeo died on 1st August, 1919 and the property being the subject matter of the suit, belonged to one Mohanlal who died in 1923 leaving him surviving his widow Kisnibai who died in 1951. The plaintiff/appellant's claim was that his adoptive father

Mahadeo, was adopted by Mohanlal as a son to him during his life time and after the death of Mohanlal, the family comprised of only two members, namely, Kisnibai who was *Mohanlal's* widow and Radhabai who, according to the plaintiff, was *Mohanlal's* predeceased's son Mahadeo's widow. The first defendant Ramgopal claimed to be adopted son of Mohanlal, though according to the plaintiff, there was, in fact, no adoption.

The factual score further depicts that the family of Mohanlal had migrated from Jaipur and was governed by the Banaras School of Hindu Law. The plaintiff contended that Ramgopal's adoption stands vitiated for want of authority from Mohanlal to Kisnibai to adopt a son to him. Ramgopal, however, as the records depict used to live with Kisnibai and Radhabai, and had an ante- adoption deed executed by Kisnibai on December 9, 1923. The document recited that Ramgopal was to be adopted only in respect of half of the property of Mohanlal and Mahadeo's line was to be continued by his widow Radhabai taking in adoption a suitable boy at any time beyond Kisnibai's life-time. A kararnama was also got executed on December 10, 1923 with the recitals to the above effect.

Factual score depicts that Radhabai on June 29, 1926 gave a public notice declaring that Ramgopal was in fact the son of Ramden alias Kalyanrao and was not the adopted son of Mohanlal and had no interest in the property of Mohanlal. A deed of partition was executed between the parties. Subsequently, a civil suit bearing No.87 of 1929 was instituted by Kisnibai for setting aside the deed of partition on the ground that Radhabai should not get any right to the property belonging to Ramgopal because Mahadeo was not Mohanlal's adopted son. Ramgopal's adoption was also challenged in the suit. Ramgopal, however, asserted that he was adopted by Mohanlal himself in Marwad which however, run counter to the recital in the deed of adoption. The suit (No.87 of 1929) was decreed against Radhabai and an appeal taken therefrom to the Court of the Judicial Commissioner, being appeal No. 19 of 1932 was also dismissed. According to the plaintiff herein the decision in that suit did not bind the plaintiff since his claim is lodged through Mahadeo and not his heir Radhabai and the observations of the learned Additional Commissioner, that Radhabai was entitled merely to maintenance and not to any interest or share in the property would not bind him. Be it noted, however, that Ramgopal was joined as a party thereto and it is the plaintiff's definite case that Radhabai having taken the plaintiff in adoption on April 25, 1967, the plaintiff became entitled to seek possession of the property left by Mohanlal and he, therefore,

A brought the present suit for possession of the properties as mentioned in the schedule to the plaint.

B Incidentally, the records depict that Ramgopal also initiated a civil action being suit No.157A of 1935 wherein one Balmukund, Kisnibai and Radhabai were joined as defendants. In the action an issue pertaining to question of Ramgopal's adoption to Mohanlal was raised and the same was answered in the affirmative whereas Ramgopal's adoption to Kisnibai was negatived. The records depict that the said finding stands affirmed by the Appellate Court in Appeal No.2A of 1939.

C The learned trial Judge in suit No.87 of 1929 upon consideration of the evidence tendered in the suit concluded the following on the factual score:

- (i) the first defendant was not proved to be the Kulmukhtyar of Kisnibai in respect of Mohanlal's property;
- D (ii) Mohanlal was governed by Banaras School of Hindu law and not the Bombay School of Hindu Law;
- (iii) Radhabai was not living as a member of Mohanlal's joint Hindu family;
- E (iv) there was no authorisation to Radhabai to adopt a son to Mahadeo and though the factum of plaintiff's adoption by Radhabai was established, his adoption was not legal and valid;
- (v) the partition between Radhabai and Kisnibai was not proved and it was also not proved that the first-defendant fraudulently got an adoption deed in his favour from Kisnibai;
- F (vi) the adoption by Mohanlal of Ramgopal, the first defendant, was held proved.

G In the present suit, the learned Trial Judge, while rejecting the plea that the suit was barred by time and that the first-defendant acquired title by adverse possession, held that the decision in Civil Suit No.87 of 1929 and First Appeal No.19 of 1932, as well as the decision in Civil Suit No. 157 of 1935 and the decision in Civil appeal No.2-A of 1939, operated as *res judicata* on the question of the adoption of Ramgopal by Mohanlal, and Mahadeo not being the adopted son of Mohanlal and dismissed the suit for possession.

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The dismissal order, however, was challenged in first Appeal No.13 of 1974 before the High Court (Nagpur Bench) but same also did not find favour with the Appellate Court, resultantly, the appeal was dismissed and hence the appeal before this Court.

The issue pertaining to the doctrine of *res judicata* thus calls for discussion at this stage. The factual backdrop has already been noticed herein above and as such, we refrain ourselves from dilating thereon in detail but by reason of the specific point for discussion, the relevant issues raised in Suit No.87 of 1929 before the Sub-Judge, Betul, ought to be noticed. The issues relevant in the present context being :

- I. Whether the Plaintiff No.2 was adopted as a son by Mohan Lal?
- IIA. Was Mahadeo the husband of the Defendant, adopted by Mohan Lal 20 years ago at Tholai in the Jaipur Estate?
- IIB. Was Mahadeo an orphan at the time of his adoption?
- IIC. Was his adoption vaild?

The issue No.I as above was answered in the affirmative by the Trial Judge and the issue Nos.IIA, IIB and IIC were answered in the negative. Needless to say that the Plaintiff No.2 in the Suit was Ram Gopal and the Defendant was Radhabai. The findings of the learned Trial Judge in the suit of 1929 leaves no manner of doubt that Ramgopal was found to be the adopted son of Mohan Lal and Mahadeo's stated adoption was not proved and hence answered in the negative. The 1939 appeal arising out of Civil Suit of 1935 though raises more or less similar issues but to avoid prolixity we need not dilate thereon but deal with the issues as raised in the 1968 Suit which has been found to be barred by the doctrine of *res judicata*. The relevant issues of 1968 suit are:

- I Does the Plaintiff prove that Radhabai was authorised to adopt a son by Mahadeo?
- II Does the Plaintiff prove that he was adopted by Radhabai and his adoption is valid and legal?
- III Does the Plaintiff prove adoption of Mahadeo by Mohan Lal?
- IV Does the Defendant No.1 prove his own adoption by Mohan

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Lal?

V Is the adoption of Defendant No.1 by Mohan Lal valid and legal?

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VI Is the decision given in Civil Suit No.87 of 1929 binding on the Plaintiff?

VII Is the decision given in Civil Suit No.157 of 1935 is binding on the Plaintiff?

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The learned Trial Judge in the present suit, being the subject matter of the appeal presently, answered the issues as below:

I .....in the affirmative.

II .....The factum of adoption is proved but the adoption is not legal and valid.

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III .....in the negative.

IV .....in the affirmative.

V .....in the affirmative.

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VI .....in the affirmative.

VII .....in the affirmative.

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and on the basis whereof dismissed the suit. Records depict that the Appellate Court confirmed the decree of dismissal of the suit.

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The Appellant's definite assertion is that Mohan Lal adopted Mahadeo in 1910 much before his death in the year 1923. Admittedly, Mahadeo pre-deceased Mohan Lal as he died on 1st August, 1919 and the Appellant's (Plaintiff) adoption by Radhabai is said to have taken place on 25th April, 1967. There is in fact a deed of adoption. Exhibit 116 brought before the learned Trial Judge corroborated such a state of affairs. The deed also was registered and by reason of registration and other available evidence on record no exception can be taken to the observations of the learned Trial Judge that there is overwhelming evidence on record to prove the factum of adoption. There is existing evidence on record as regards the adoption ceremony. But

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the issue herein does not pertain to the validity and legality of the adoption

in terms of the registered deed in favour of the plaintiff by Radhabai and it is on this score that strong reliance was placed on Section 8 of the Hindu Adoption and Maintenance Act and it is on this count the provision of the Act (Section 8) would not have any application since the widow has undoubtedly a right to adopt the child for herself but in the event the child was to be adopted to the husband the statute is otherwise silent and thus the law as it stood prior to the enactment of the legislation as regards the adoption would have to be taken recourse to for proper appreciation. The Shastric law provides an express authority by the husband to the widow to adopt a child and in the contextual facts there is not even an iota of evidence in regard thereto as such adoption has been stated to be not legal and valid by both the courts below and we do also feel it inclined to accept the same. The submissions of Mr. Jain on this score thus stands negated.

The discussion above could have been omitted but by reason of judicial ethics since very strenuous submission has been made by Mr. Jain in support of the Appeal, as regards the merits of the matter.

The doctrine of *res judicata* has received a statutory sanction in the Code as a matter of prudence and to give due weightage to a finding or a decision so as to reach a finality in the matter of a dispute between the same parties or litigating under the same parties. The doctrine thus is to achieve finality of dispute between the parties being a principle of prudence so as to give efficacy to a finding of the Court rather than permit the parties to go to trial more or less on the same issues over again and thus introducing a possibility of conflict of views. Judicial verdict has its special sanctity and cannot be the subject matter of discussion at any future time involving identical or similar issues. The facts in issue is one where more than one attempt has been made to establish a fact and in every attempt that particular fact stands negated.

In the present context, the issue is placed before the Apex Court, and as such therefore, should have to be considered in its proper perspective so that similar issues are not raised before the Court for adjudication on occasions more than one since it has a salutary effect on to the jurisprudential system of the country.

The 1976 Amendment to the Code and the introduction of Explanations VII and VIII clarify the dual objective as noticed above. The objection howsoever technical it may be, ought not to outweigh the reasonableness of the doctrine. Raghubir Dayal, J. speaking for the majority view in off cited

A *Gulab Chand's case (Gulabchand Chhotalal Parikh v. State of Gujarat, AIR (1965) SC 1153)* in paragraphs 60 and 61 observed:

B “As a result of the above discussion, we are of opinion that the provisions of S.11 CPC are not exhaustive with respect to an earlier decision operating as *res judicata* between the same parties on the same matter in controversy in a subsequent regular suit and that on the general principle of *res judicata*, any previous decision on a matter in controversy, decided after full contest or after affording fair opportunity to the parties to prove their case by a Court competent to decide it, will operate as *res judicata* in a subsequent regular suit.  
C It is not necessary that the court deciding the matter formerly be competent to decide the subsequent suit or that the former proceeding and the subsequent suit have the same subject matter. The nature of the former proceeding is immaterial.

D We do not see any good reason to preclude such decisions on matters in controversy in writ proceedings under Articles 226 or 32 of the Constitution from operating as *res judicata* in subsequent regular suits on the same matters in controversy between the same parties and thus to give limited effect to the principle of the finality of decisions after full contest. We, therefore, hold that, on the general principle of *res judicata*, the decision of the High Court on a writ petition under Article 226 on the merits on a matter after contest will operate as *res judicata* in a subsequent regular suit between the same parties with respect to the same matter.”  
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F The doctrine of *res judicata* or constructive *res judicata* predominantly is a principle of equity, good conscience and justice. It would neither be equitable nor fair nor in accordance with the principles of justice that the issue concluded earlier ought to be permitted to be raised later in a different proceeding. It is on this context that the Trial Judge stated as below:

G “It is clear from the judgment in Civil Suit No.87/29 that Mahadeo’s adoption was the point in dispute. Thus, it is also clear that the question of Mahadeo’s adoption is the contention in both the suits. Again we find that the other questions are also common in that suit and in this suit the question of adoption of present Defendant No.1 by Mohanlal, the question of validity of the adoption deed, dated 9th  
H December, 1923 and the question of validity of the Karinama, dated

10th December, 1923 were also directly or substantially in issue in the said suit. Thus, in that suit the matter in controversy was decided after full contest and after affording fair opportunity to the parties to prove their case. Hence despite the fact that Betul Court was not competent to try the suit before us the questions referred to above which were decided in that suit would operate as *res judicata*, by the general principles of *res judicata*, in view of the observations of the Supreme Court. In that previous suit we find that it has been decided that Mahadeo was not the adopted son of Mohanlal and secondly, the Defendant No.1 Ramgopal was adopted son of Mohanlal. Thirdly, the question, the so-called validity of adoption deed, dated 9th December, 1923 was decided and it was decided that Kararnama, dated 10th December 1923 and adoption deed were not binding on the Defendant No.1 who was the plaintiff No.2 in that case. Hence all these questions cannot now be agitated in the suit before us and the decisions on those points would operate as *res judicata*. The civil Suit No.157-A/1935 was filed by the Defendant No.1 Ramgopal, as stated above, against Kisnibai and Radhabai and three others, now the question is as to whether the decision in this Suit No.157-A/35 operates as *res judicata*. In that suit Radhabai was a party. The issues were -

(1) Whether the plaintiff (Defendant No.1 in this suit) was adopted by Mohanlal?

(2) Whether the adoption deed, dated 9th December, 1923 and the Kararnama, dated 10th December, 1923 were binding on the plaintiff (Defendant No.1 in this suit)?

It has been held that the Plaintiff (Defendant No.1 in this suit) was adopted by Mohanlal. Secondly, the adoption deed dated 9th December, 1923 and the Kararnama, dated 10th December 1923 were held to be not binding on the plaintiff (Defendant No.1 in this suit). This decision was confirmed by the High Court in Second appeal No.466/1940 vide Exh.173 certified copy of the judgment. In my view, the decision on these points would operate as *res judicata* against the plaintiff. The reasons for my coming to the conclusions are the same which I have discussed while deciding the question of *res judicata* regarding decision in Civil Suit No.87/1929. I, therefore, hold that the decisions in Civil Suit No.87/29 and Civil Suit No.157-A/1935 are binding on plaintiff and they operate as *res judicata*. I, therefore,

A answer issue Nos. 7,18 and 19 in the positive.”

The Appellate Court also relying upon Explanation VIII to Section 11 of the Code, negated the contention of the Plaintiff-appellant herein. The Appellate Court very rightly observed that the general doctrine of *res judicata* could not be applied as has been so applied by the learned Trial Judge but

B Explanation VIII to Section 11 as stated by the Appellate Court and rightly so makes the objection disappear by reason of its widest possible connotation.

The Explanation VIII as inserted by the Amendment Act of 1976 reads as below:

C “*Explanation VIII* - an issue heard and finally decided by a Court of limited jurisdiction, competent to decide such issue, shall operate as *res judicata* in a subsequent suit, notwithstanding that such Court of limited jurisdiction was not competent to try such subsequent suit or the suit in which such issue has been subsequently raised.”

D The expression ‘Court of limited jurisdiction’ ought not to be given a limited or restrictive interpretation and as noticed above but widest possible amplitude ought to be given on to the expression above. The High Court upon reliance on various decisions of different High Courts of the country observed:

E “We find that merely because in the present case the Courts, which decided the earlier suits could not have entertained the present suits, the finding recorded by them would not cease to operate as *res judicata*, in view of the introduction of Explanation VIII to section 11 of the Code of Civil Procedure. The submission, however, on this point on behalf of the plaintiff was that no retrospective operation could be given to the Explanation VIII inserted by Act 104 of 1976 and the suit which was instituted in the year 1968 would have to be

F decided as if Explanation VIII to section 11 was not on the statute book. The question, whether retrospective effect should be given to Explanation VIII would depend on the provisions of the 1976 Amending Act. Section 97 of Act 104 of 1976, so far as relevant runs as follows:-

G “97(1) any amendment made, or any provision inserted in the principal Act by a State Legislature or a High Court before the commencement of this Act shall, except in so far as such amendment or provision is consistent with the provisions of the principal Act as

H amended by this Act, stand repealed.

(2) Notwithstanding that the provisions of the Act have come into force or the repeal under sub-section (1) has taken effect, and without prejudice to the generality of the provisions of Section 6 of the General Clauses Act, 1897,

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(a) the amendment made to clause (2) of Section 2 of the Principal Act by Section 3 of this Act shall not affect any appeal against the determination of any such question as is referred to in section 47 and every such appeal shall be dealt with as if the said section 3 had not come into force;

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(b) \_\_\_\_\_ to

C

(zb) \_\_\_\_\_.

(3) Save as otherwise provided in sub-section (2), the provisions of the principal Act, as amended by this Act, shall apply to every suit, proceeding, appeal or application, pending at the commencement, notwithstanding the fact that the right, or cause of action, in pursuance of which such suit, proceeding, appeal or application is instituted or filed, had been acquired or had accrued before such commencement.

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Obviously, the effect given to Explanations VII and VIII inserted in Section 11 of the Code of Civil Procedure by the amendment, would act and come within the sweep of sub-section 2(af) of the amending Act and it would be regulated by sub-section (3) of section 97. As on the date of the commencement of the Amending Act the present suit was pending in the Court of the Civil Judge, Senior Division, Amravati, the amended provisions of section 11 would apply to it. Sub-section (2) of section 97 of the amending Act regulates pending matters with reference to several provisions of the principal Act, but does not refer to the amendment brought about in section 11 of the principal Act, which consequently would come within the sweep of sub-section (3) of section 97 of the Amending Act and would, therefore, have retrospective operation, so long as the matter in which the question of application falls to be considered is pending at the time of commencement of the Act."

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We do feel it expedient to record that the analysis as effected by the High Court stands acceptable and as such we refrain ourselves from dilating on this

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A aspect of the matter any further. It is pertinent to add in this context that some differentiation exists between a procedural statute and statute dealing with substantive rights and in the normal course of events, matters of procedure are presumed to be retrospective unless there is an express ban on to its retrospectivity. In this context, the observations of this Court in the case of  
 B *Jose Da Costa and Another v. Bascora Sadasiva Sinai Naricornim and Ors.*, [1976] 2 SCC 917 is of some relevance. This Court in paragraph 31 of the Report observed:

C “Before ascertaining the effect of the enactments aforesaid passed by the Central Legislature on pending suits or appeals, it would be appropriate to bear in mind two well-established principles. The first is that while provisions of a statute dealing merely with matters of procedure may properly, unless that construction be textually inadmissible, have retrospective effect attributed to them, provisions which touch a right in existence at the passing of the statute are not  
 D to be applied retrospectively in the absence of express enactment or necessary intendment (*Delhi Cloth and General Mills Co. Ltd. v. Income Tax Commissioner*, AIR (1927) PC 242.

E The second is that a right of appeal being a substantive right the institution of a suit carries with it the implication that all successive appeals available under the law then in force would be preserved to the parties to the suit throughout the rest of the career of the suit. There are two exceptions to the application of this rule, viz. (1) when by competent enactment such right of appeal is taken away expressly or impliedly with retrospective effect and (2) when the Court to which  
 F appeal lay at the commencement of the suit stands abolished (*Garikapati Veeraya v. N. Subbiah Choudhary*, AIR (1957) SC 540 and *Colonial Sugar Refining Co. Ltd. v. Irving*, (1905) AC 369”.

G Still later this Court in *Gurbachan Singh v. Satpal Singh & Others*, AIR (1990) SC 209 expressed in the similar vein as regards the element of retrospectivity. The English Courts also laid that the rule that an Act of Parliament is not to be given retrospective effect applies only to statutes which affect the vested rights: It does not apply to statutes which alter the form of procedure or the admissibility of evidence, or the effect which the courts give to evidence: If the new Act affects matters of procedure only, then, *prima facie*,  
 H it applies to all actions pending as well as future (see in this context the

decisions of the House of Lords in the case of *Blyth v. Blyth*, (1966) 1 All ER 524; *A.G. v. Vernazza*, (1960) 3 All ER). In *Halsbury's Laws of England* (4th Edition: Vol.44: para 925 page 574) upon reference to *Wright v. Hale*, (1860) 6 H & N 227 and *Gardner v. Lucas*, (1878) 3 Appeal Cases 582 along with some later cases including *Blyth v. Blyth* (supra) it has been stated: "the presumption against retrospection does not apply to legislation concerned merely with matters of procedure or of evidence; on the contrary, provisions of that nature are to be construed as retrospective unless there is a clear indication that such was not the intention of Parliament".

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The law thus seems to be well settled that no person has, in fact, a vested right in procedural aspect - one has only a right of prosecution or defence in the manner as prescribed by the law for the time being and in the event of any change of procedure by an Act of Parliament one cannot possibly have any right to proceed with the pending proceeding as altered by the new legislation and as such we need not dilate on the issue any further.

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Before we proceed with the matter further, incidentally, be it noted that on the factual score, the question whether the Plaintiff- appellant's adoption by Radhabai was, in fact, established or not - there is no divergence of views between the Appellate Court and the Trial Court: The factum of adoption was established but whereas the trial Court doubted its legality, the Appellate Court in no uncertain term recorded: "there was no question of any illegality attaching to the adoption on account of absence of authority from the husband to adopt the child". It is noteworthy at this juncture that by reason of the exposition of law as above and since Mahadeo's adoption was negated in the earlier suit, question of any further claim on the basis of adoption of Mahadeo would not arise. The Appellate Court as a matter of fact laid emphasis on the question as to whether the Plaintiff by virtue of being Mahadeo's adopted son would be entitled to claim rights in the property which belong to Mohan Lal and referred to Clause (c) of Proviso to Section 12 of the Hindu Adoption and Maintenance Act. For convenience sake the third proviso to Section 12 is noted hereinbelow:

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"12. *Effects of adoption.*

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.....provided that -

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- A (c) the adopted child shall not divest any person of any estate which vested in him or her before the adoption”.

It is on this aspect of the matter the Appellate Court observed:

- B “The whole basis for claiming a right in the property left by Mohan Lal is that Radhabai is the widow of Mahadeo alleged to be the deceased son of Mohanlal. The argument was that after the death of Mohanlal and in the absence of Ramgopal’s adoption, it would be Radhabai who would take the property belonging to Mohanlal to the exclusion, or otherwise, of Kisnibai who died in the year 1951, as on
- C the date of the adoption, i.e., 25th April, 1967, Radhabai was the only surviving member of the family of Mohanlal. Succession to Mohanlal opened in the year 1923 when the Hindu Women’s Rights to Property Act, 1937, had not been enacted. The most that could be said in respect of Radhabai would be that Radhabai, by virtue of her being the widow of Mahadeo, would be entitled to take widow’s estate in
- D the property left by Mohanlal, and by virtue of the provisions of section 14 (1) of the Hindu Succession Act, her estate would be enlarged and she would become a full owner of the property. At the time when the previous suits were finally decided, the position of Radhabai, in pursuance of these judgments, was that she was not a
- E member of Mohanlal’s family; and there is no dispute that this finding bound Radhabai personally. The position on the date of the plaintiff’s adoption would be, if the submission of Shri Udhoji were to be accepted, in view of clause (c) of Proviso to Section 12, that the property vested in Radhabai as full owner.....

- F The position would, therefore, be that during the life time of Radhabai, the plaintiff, even by virtue of his adoption, could not have divested Radhabai if she were to have had become the absolute owner of Mohanlal’s property. He could only claim by succession to Radhabai and not by virtue of his being an adopted son during his life time. In view of this position of law, it is clear that the plaintiff,
- G in order to succeed in the present case, would have to claim under Radhabai and he would not get any rights, by virtue of section 12 only on the basis that he was Mahadeo’s adopted son, having regard to the date of his adoption which was 25th April, 1967”.

- H It is on the above observation that Mr. Jain very strongly contended that

the Plaintiff by a legal fiction takes the interest of Mahadeo in 1919 when he is deemed to be born, though, in fact however, he was born in 1951. We however, cannot lend any concurrence to the submission of Mr. Jain. The plaintiff can only claim by succession to Radhabai and not as a co-parcener on the basis of a legal fiction. We feel it expedient to record that the analysis of the situation by the Appellate Court that the Plaintiff would have to claim under Radhabai but by virtue of Section 12 of the Act of 1956, the plaintiff would not have any right on the basis that he was Mahadeo's adopted son.

Admittedly, Radhabai was a party to the previous suit and the issue regarding Ramgopal and Mohanlal's adoption having been decided against Radhabai specifically, it cannot but be said that the plaintiff was litigating under the same title.

In view of the discussion as above and having regard to the provisions of Section 11 read with Explanation VIII, the earlier decision would operate as a *res judicata* in the present context. The adoption of Ramgopal has, as a matter of fact, declared to be a valid adoption in any event, the same being a finding against the estate, question of further accrual of any right would not arise. The Plaintiff cannot as a matter of fact lodge its claim independently of Radhabai as a co-parcener by reason of being a deemed son of Mahadeo. As noted above the entitlement is only if there be any, through Radhabai and not independently of Radhabai. The legal fiction introduced by Mr. Jain unfortunately cannot find favour with us, more so by reason of the fact that the adoption of Mahadeo stands negated in the earlier suit.

Mr. Mohta appearing for the respondents, however, relying on the earlier judgment and the findings as regards the affirmation of Ramgopal's adoption and negation of Mahadeo's adoption and the factum of the Plaintiff having been litigated under the same title as Radhabai and since Radhabai was a party to the previous suit, very strongly contended that question of any doubt being raised as regards the applicability of the doctrine of *res judicata* or constructive *res judicata* does not and cannot arise. Mr. Mohta contended that vesting in any event cannot take place in favour of an unborn person and vesting must be viz-a-viz a living person and the legal fiction pertaining to vesting to an unborn person would not arise. We do find some contentious substance in the contextual facts, since vesting shall have to be a 'vesting' certain. "To 'vest', generally means to give a property in". (per *Brett L.J. Coverdale v. Charlton*, 48 L.J.Q.B. 132: Stroud's Judicial Dictionary 5th Edition. Vol.VI). Vesting in favour of the unborn person and in the contextual facts on the basis of a

A subsequent adoption after about 50 years without any authorisation cannot, however, be termed to be a contingent event: To 'vest', cannot be termed to be an executory devise. Be it noted, however, that 'vested' does not necessarily and always mean 'vest in possession' but includes 'vest in interest' as well.

B In the facts of the matter under consideration the issue pertaining to vesting, however, does not call for any opinion, more so by reason of the specific finding as regards the negation of Mahadeo's interest as well as the assertion of Ramgopal's adoption in the affirmative and as such the issue also loses its significance and we also express no opinion in regard thereto, save what is noted hereinbefore.

C On the wake of the aforesaid we are unable to record our concurrence with the submission of Mr. Jain that the doctrine of *res judicata* has no manner of application, on the contrary; we record our views that the second suit is barred by the doctrine and we see no merit in the appeal as such. The Appeal is, therefore, dismissed; there shall, however, be no order as to costs.

V.S.S.

Appeal dismissed.